



September 16, 2021  
079/2021-VNC

## EXTERNAL COMMUNICATION

Listed B3 Participants

Re: **Exclusion of Customer Names on the List of Defaulters**

We hereby inform you that, according to information received from market participants, **Abner Ribeiro da Silva** (Individual Taxpayer No. CPF/MF 220.638.598-84), **Adilson Donizeti Zanquetta Bueno** (Individual Taxpayer No. CPF/MF 339.103.368-17), **Adriano Batista Olímpio** (Individual Taxpayer No. CPF/MF 034.568.329-31), **Aldemir Gomes Costa** (Individual Taxpayer No. CPF/MF 706.138.423-91), **Alessandro Messias Soares** (Individual Taxpayer No. CPF/MF 700.313.261-37), **Alexandre Luis da Silva Colares** (Individual Taxpayer No. CPF/MF 064.408.776-58), **Anderson J. S. A. Almeida** (Individual Taxpayer No. CPF/MF 222.699.888-84), **Anderson José da Silva Crespo** (Individual Taxpayer No. CPF/MF 036.170.237-03), **Anderson Pereira Mendonça** (Individual Taxpayer No. CPF/MF 059.132.005-35), **André Brasil Costa** (Individual Taxpayer No. CPF/MF 089.171.649-19), **André Luiz Leite de Araujo** (Individual Taxpayer No. CPF/MF 021.586.351-85), **Andrey Victor de Moraes Melo** (Individual Taxpayer No. CPF/MF 059.463.644-21), **Antonio Carlos Caubit Vianna Junior** (Individual Taxpayer No. CPF/MF 119.585.137-50), **Arthur Gustavo de Souza** (Individual Taxpayer No. CPF/MF 415.026.518-66), **Arthur Silva Moreira** (Individual Taxpayer No. CPF/MF 040.537.821-12), **Beatriz Magna Tavares Lacerda** (Individual Taxpayer No. CPF/MF 885.616.314-49), **Bianca Vera Dias Simão** (Individual Taxpayer No. CPF/MF 399.951.928-29), **Bruno Silva Souza** (Individual Taxpayer No. CPF/MF 529.357.828-60), **Caio Vinícius de Souza Pacheco** (Individual Taxpayer No. CPF/MF 048.593.881-27), **Caiquisson Tavares da Silva** (Individual Taxpayer No. CPF/MF 035.170.035-81), **Carlos Alberto Duarte** (Individual Taxpayer No. CPF/MF 072.103.697-08), **Carlos André Gonçalves do Nascimento** (Individual Taxpayer No. CPF/MF 830.197.237-

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87), **Carlos Gonzaga Silva da Silva** (Individual Taxpayer No. CPF/MF 899.913.030-49), **Célio Machado Arruda** (Individual Taxpayer No. CPF/MF 691.907.711-68), **Cleberon Lima de Almeida** (Individual Taxpayer No. CPF/MF 057.508.746-30), **Clenilton Lima de Oliveira** (Individual Taxpayer No. CPF/MF 955.805.735-53), **Cristiano de Souza** (Individual Taxpayer No. CPF/MF 042.506.266-01), **Danilo Vaz Pinto** (Individual Taxpayer No. CPF/MF 347.791.078-81), **Darllyson Nazario Domingos da Silva** (Individual Taxpayer No. CPF/MF 705.587.354-10), **Deivid Pereira Lopes** (Individual Taxpayer No. CPF/MF 367.772.758-86), **Denes Dias de Carvalho** (Individual Taxpayer No. CPF/MF 849.914.536-15), **Diego Aparecido de Almeida** (Individual Taxpayer No. CPF/MF 021.755.181-59), **Diogenes do Vale** (Individual Taxpayer No. CPF/MF 161.323.668-90), **Douglas Moraes Correa de Oliveira** (Individual Taxpayer No. CPF/MF 396.601.888-89), **Edinelson Faria de Oliveira** (Individual Taxpayer No. CPF/MF 028.530.147-03), **Edson Ritter** (Individual Taxpayer No. CPF/MF 021.963.231-60), **Eduardo Lima Cardoso** (Individual Taxpayer No. CPF/MF 604.396.003-67), **Eduardo Nunes Correa** (Individual Taxpayer No. CPF/MF 002.182.497-56), **Eduardo Rogelio Lino** (Individual Taxpayer No. CPF/MF 900.793.539-91), **Eliezer Moraes da Cruz** (Individual Taxpayer No. CPF/MF 014.705.351-06), **Emanuel Anderson Santos de Oliveira** (Individual Taxpayer No. CPF/MF 127.475.426-70), **Estefani Pureza da Silva** (Individual Taxpayer No. CPF/MF 010.477.532-78), **Etnes Brendon Souza** (Individual Taxpayer No. CPF/MF 118.878.116-21), **Fabio Mendes de Oliveira** (Individual Taxpayer No. CPF/MF 165.730.048-09), **Fernando Alves Teixeira Queiroz** (Individual Taxpayer No. CPF/MF 041.817.286-25), **Fernando Andrade de Oliveira** (Individual Taxpayer No. CPF/MF 348.956.778-18), **Philippe Cesar Gonçalves Gomes** (Individual Taxpayer No. CPF/MF 164.170.287-71), **Flavio de Pinho Lima** (Individual Taxpayer No. CPF/MF 947.325.902-68), **Galber Stanley da Macena Siqueira** (Individual Taxpayer No. CPF/MF 097.329.376-45), **Gilberto Feitoza da Silva** (Individual Taxpayer No. CPF/MF 903.961.462-87), **Gildazio dos Santos Oliveira Junior** (Individual Taxpayer No. CPF/MF 710.037.021-39), **Gilmar Falquembach Veigantt Junior** (Individual Taxpayer No. CPF/MF 065.539.869-40), **Gilson Batista de Castro** (Individual Taxpayer No. CPF/MF 009.212.041-59), **Gilvano Grosskopf** (Individual Taxpayer No. CPF/MF 038.552.949-09), **Gleison Pereira Frota** (Individual Taxpayer No. CPF/MF 410.856.238-07), **Gustavo dos Anjos Silva** (Individual Taxpayer No. CPF/MF

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489.648.208-51), **Healey Souza Fernandes** (Individual Taxpayer No. CPF/MF 691.479.492-87), **Henrique Rocha Storti** (Individual Taxpayer No. CPF/MF 312.116.538-03), **Higor Cardoso de Castro Silva** (Individual Taxpayer No. CPF/MF 054.419.721-65), **Igor Carlos da Silva Pinheiro** (Individual Taxpayer No. CPF/MF 052.801.461-70), **Igor de Sousa Rezende** (Individual Taxpayer No. CPF/MF 120.429.076-85), **Inácio Amaro dos Santos** (Individual Taxpayer No. CPF/MF 150.571.408-76), **Inaildo Ferreira de Lima Junior** (Individual Taxpayer No. CPF/MF 055.135.344-95), **Irvando Luiz Dalla Corte** (Individual Taxpayer No. CPF/MF 232.780.800-68), **Jaime Rosello** (Individual Taxpayer No. CPF/MF 279.880.428-07), **James Pezzano** (Individual Taxpayer No. CPF/MF 462.638.228-21), **Janiel Sousa de Sousa** (Individual Taxpayer No. CPF/MF 661.498.862-04), **Jean Cássio de Souza Nochelli** (Individual Taxpayer No. CPF/MF 055.882.131-65), **Jean Felipe Pereira da Silva** (Individual Taxpayer No. CPF/MF 399.513.848-97), **Jefferson Amaro Barbosa da Silva** (Individual Taxpayer No. CPF/MF 102.012.176-94), **Jeresvan de Sousa Nascimento** (Individual Taxpayer No. CPF/MF 046.505.193-65), **João Jeremias Lima da Silva** (Individual Taxpayer No. CPF/MF 368.401.188-60), **Joel Cabral da Ponte Junior** (Individual Taxpayer No. CPF/MF 035.290.577-89), **Jonas Garcia de Souza Neto** (Individual Taxpayer No. CPF/MF 069.886.247-32), **José Affonso Branco Sausen** (Individual Taxpayer No. CPF/MF 035.488.310-01), **José Sandro Medeiros Patriota** (Individual Taxpayer No. CPF/MF 291.878.518-06), **José Warlly Fernandes Vieira** (Individual Taxpayer No. CPF/MF 059.782.873-33), **Juliano Farias de Queiroz** (Individual Taxpayer No. CPF/MF 212.669.588-35), **Kaio Cezar Brum do Amaral** (Individual Taxpayer No. CPF/MF 013.593.320-06), **Karla Marianna Martins da Silva** (Individual Taxpayer No. CPF/MF 006.498.391-96), **Larissa dos Santos Teixeira** (Individual Taxpayer No. CPF/MF 119.426.726-24), **Larissa Luchesi** (Individual Taxpayer No. CPF/MF 403.142.228-80), **Lazara Nazalia Ramos de Mattos** (Individual Taxpayer No. CPF/MF 168.564.906-82), **Leomir Ferreira da Cunha** (Individual Taxpayer No. CPF/MF 108.959.187-06), **Leonardo Raphael Rodrigues Faria** (Individual Taxpayer No. CPF/MF 225.248.038-64), **Luan Almeida de Oliveira** (Individual Taxpayer No. CPF/MF 069.861.205-16), **Lucas Fernando Brunhara Silva** (Individual Taxpayer No. CPF/MF 405.186.908-30), **Lucas Gabriel da Silveira** (Individual Taxpayer No. CPF/MF 700.147.651-01), **Lucas Gomes do Prado** (Individual Taxpayer No. CPF/MF 437.236.638-85), **Lucas Monteze** (Individual

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Taxpayer No. CPF/MF 355.345.538-12), **Lucas Pereira Guedes** (Individual Taxpayer No. CPF/MF 234.832.278-14), **Luciana Soares Bonfim Apolinário** (Individual Taxpayer No. CPF/MF 026.617.555-43), **Luís Ricardo Miranda Araujo** (Individual Taxpayer No. CPF/MF 078.120.959-59), **Luiz Carlos dos Santos Junior** (Individual Taxpayer No. CPF/MF 322.566.458-86), **Marcos Alves Guimarães** (Individual Taxpayer No. CPF/MF 101.891.028-02), **Mariana Fidelis Costa** (Individual Taxpayer No. CPF/MF 715.640.874-76), **Matheus Augusto Pinhati de Oliveira** (Individual Taxpayer No. CPF/MF 405.291.318-38), **Matheus de Castro Castilho** (Individual Taxpayer No. CPF/MF 137.712.687-02), **Matheus Marcos do Carmo Martins** (Individual Taxpayer No. CPF/MF 055.536.431-39), **Micheli Pires Bueno** (Individual Taxpayer No. CPF/MF 324.977.618-17), **Milena Oliveira de Souza Batista** (Individual Taxpayer No. CPF/MF 050.435.184-21), **Nadeem Ahmad Tahir** (Individual Taxpayer No. CPF/MF 051.998.947-30), **Nádia Barbosa Garatva** (Individual Taxpayer No. CPF/MF 000.314.957-96), **Natanael Bandeira Ferreira** (Individual Taxpayer No. CPF/MF 102.835.977-20), **Nissan Mardey Macedo** (Individual Taxpayer No. CPF/MF 014.386.946-95), **Otávio Augusto Stoppa Thomé** (Individual Taxpayer No. CPF/MF 396.169.348-05), **Otávio Henrique Neto das Graças** (Individual Taxpayer No. CPF/MF 120.678.586-10), **Pablo Rodrigo Santos de Souza** (Individual Taxpayer No. CPF/MF 035.617.001-28), **Pedro Augusto Avalos Rodrigues** (Individual Taxpayer No. CPF/MF 089.342.101-41), **Pedro da Silva Marinho** (Individual Taxpayer No. CPF/MF 630.140.335-53), **Rafael Félix Gonçalves** (Individual Taxpayer No. CPF/MF 104.849.527-22), **Rafael Ramos do Nascimento** (Individual Taxpayer No. CPF/MF 386.573.668-86), **Ramon Santiago Rodrigues Feitosa** (Individual Taxpayer No. CPF/MF 051.415.723-23), **Raphael C. Correa** (Individual Taxpayer No. CPF/MF 103.087.867-69), **Renan Martins Sampaio** (Individual Taxpayer No. CPF/MF 029.799.910-90), **Renan Thomé Silveira** (Individual Taxpayer No. CPF/MF 514.238.228-54), **Renato de Freitas Mirapalheta** (Individual Taxpayer No. CPF/MF 401.887.228-31), **Robert dos Santos Pereira** (Individual Taxpayer No. CPF/MF 322.169.838-02), **Roberto Cecílio Danhão** (Individual Taxpayer No. CPF/MF 022.578.428-98), **Roberto Mariano da Silva Filho** (Individual Taxpayer No. CPF/MF 090.591.384-14), **Robson Correa Dauzacker** (Individual Taxpayer No. CPF/MF 693.754.101-53), **Rodiney Frank Miranda de Almeida** (Individual Taxpayer No. CPF/MF 272.243.288-98), **Rodrigo Sartori Rocha**

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(Individual Taxpayer No. CPF/MF 075.853.059-58), **Rômulo Ayres Santos** (Individual Taxpayer No. CPF/MF 218.436.068-60), **Roney Santos Oliveira** (Individual Taxpayer No. CPF/MF 062.780.075-09), **Samuel de Assis Ruiz** (Individual Taxpayer No. CPF/MF 395.171.468-92), **Sergio Galetti Maia** (Individual Taxpayer No. CPF/MF 296.151.368-59), **Sergio Henrique Schmidt Rodrigues** (Individual Taxpayer No. CPF/MF 290.637.568-36), **Sergio Ricardo Fernandes de Freitas** (Individual Taxpayer No. CPF/MF 294.150.058-82), **Suzelaine Aparecida Junqueira Diniz** (Individual Taxpayer No. CPF/MF 185.623.348-04), **Thiago Bahia Filgueiras** (Individual Taxpayer No. CPF/MF 528.731.532-53), **Thiago Costa Gonçalves** (Individual Taxpayer No. CPF/MF 693.726.671-53), **Tiego Silveira Mendes** (Individual Taxpayer No. CPF/MF 129.916.746-28), **Túlio Cesar Ribeiro Luiz** (Individual Taxpayer No. CPF/MF 071.912.496-41), **Valdenice Ferreira dos Santos** (Individual Taxpayer No. CPF/MF 496.317.991-15), **Wagner Roberto Lopes Fortunato** (Individual Taxpayer No. CPF/MF 213.472.908-26), **Wellington Henrique da Silva** (Individual Taxpayer No. CPF/MF 331.034.868-71), **Wesley de Jesus Silva** (Individual Taxpayer No. CPF/MF 367.617.308-21), **Wesley Olivar Lopes dos Reis** (Individual Taxpayer No. CPF/MF 014.121.355-83) and **Willen Góes** (Individual Taxpayer No. CPF/MF 359.090.748-73) are no longer on the list of defaulters.

Finally, we clarify that the inclusion and exclusion of defaulting customers in External Communication is following art. 5, §1 of CVM Resolution 36/2021 and with art. 56 of CVM Instruction 461/07; and with item 10 of the B3 Operating Procedures Manual, so that the disclosure of the name and CPF of the principals is in accordance with the terms of the General Law for the Protection of Personal Data, in the terms of item II of art. 7 of Law 13.709/2018 (LGPD).

This External Communication 079/2021-VNC of September 16, 2021, will come into force on **September 17, 2021**.

Mario Palhares  
Chief Operating Officer – Electronic Trading and CCP

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